

The Chicago Author-Date Specification

Important Note

Starting with biblatex version 1.5, in order to adhere to the author-date specification you will need to use Biber to process your .bib files, as BIBTEX (and its more recent variants) will no longer provide all the features the style requires. For this release, you really need the current versions of Biber (1.9) and biblatex (2.9a), which contain features and bug-fixes on which my own code relies. The advice that follows in this document assumes that you are using Biber; if you wish to continue using BIBTEX then you need biblatex version 1.4c and biblatex-chicago 0.9.7a. (Please contact me at the email address in biblatex-chicago.pdf if you have any difficulty obtaining a copy of this earlier release.)

Editions

This file documents the author-date specification from the 16th edition of *The Chicago Manual of Style*, published in 2010. This edition implements significant changes to what the specification has, historically, recommended, and there are certain to be users who prefer the older format with titles capitalized sentence-style and not, in the case of most un-book-like entries, enclosed in quotation marks. For such users, the new authordate-trad style, as envisaged by the *Manual* (2010, 15.45), grafts the traditional Chicago author-date title formatting onto the current recommendations for the remainder of the reference apparatus. Please consult cms-trad-sample.pdf to see how this looks in practice. You can also still use the 15th-edition styles from biblatex-chicago, which have been updated with some improvements borrowed from the 16th edition, but which are now strongly deprecated. (See biblatex-chicago15.pdf and cms15-dates-sample.pdf.) I would encourage all users to switch to one of the 16th-edition styles as soon as possible, as I am concentrating all of my development time there.

Usage

As a general rule, you'll probably want to use the `\autocite` command for most citations. For most sources, the result will be exactly as you expect it to be. A few examples: (Adorno and Benjamin 1999); (Ashbrook and Albright 1997); (Babb 1989); (Barcott 2000). Any page references should also appear as you expect: (Batson 1990, 338); (Beattie 1974, 79); (Boxer 1953, 36).

Repeated citations

Repeated citations are somewhat complicated. The Chicago author-date style doesn't use "*Ibid.*," but in general a repeated citation on the same page will print only the page reference: (Browning 1996); (45). Technically, this should only occur when a source is cited "more than once in one paragraph" (University of Chicago Press 2010, 15.26), so you can use the `\citereset` command from biblatex to achieve the greatest compliance, as the package only offers automatic resetting on part, chapter, section, and subsection boundaries, while biblatex-chicago automatically resets the tracker at page breaks:

`\citereset` (University of Chicago Press 2010, 15.27). If you are going to repeat a source, make sure that the cite command provides a postnote — when using biblatex-chicago you'll no longer get any annoying empty parentheses, but you will get another standard citation, which may add too much clutter: (University of Chicago Press 2010). If you don't need to cite a specific page, then it may be better, or at least more concise, only to use one citation command rather than two.

Other citation commands

The other citation commands from biblatex also work fine:

```
\textcite: Conley (1999); \autocite*: (1982); \cite: Conway 1998; \cite*: 2001; \foot-  
note with \autocite;1 \footcite (= \cite inside a \footnote).2
```

Multicites should work as you expect, too:

```
\autocites: (Electronic Book Technology Inc. 1991; Eliot 1953); \autocites by the same  
author: (Pirumova 1977a, 1977b); \autocites by the same author with postnotes: (Piru-  
mova 1977a; 1977b, 14); \textcites by the same author with postnotes: Pirumova (1977a,  
37; 1977b).
```

Shorthands

Chicago’s author-date style only seems to recommend the use of shorthands as abbreviations for long authors’ names, particularly institutional names (University of Chicago Press 2010, 15.36). By default, I have followed this recommendation: `\autocites: (BSI 1985; ISO 2001); \textcites: BSI (1985) and ISO (2001)`. This shorthand will by default appear at the head of the entry in the list of references, followed by the parenthesized expansion of the shorthand, taken from the author field. (This is a change from the 15th edition.) You will usually also need a sortkey field to make sure that the entry is alphabetized by the shorthand rather than by the title. If you use a `\printshorthands` command, the list of shorthands will still be printed, so you now have a variety of options available for presenting the expansions depending on your specific requirements. Please note, also, that you can get back something approaching the “standard” behavior of shorthands if you give the `cmslos=false` option to `biblatex-chicago` in your document preamble.

Mildly problematic entries

In most entries, the absence of an author can be supplied by, e.g., an editor or a translator: (Crow and Olson 1966); (Silverstein 1974). Sometimes an anonymous work’s author is known or can be guessed: ([Horsley] 1796); ([Cook?] 1730). Alternatively, in some cases the title may appear in place of the author: (*Stanze* 1547); (*True and Sincere Declaration* 1610). The 16th edition is less than enthusiastic about the use of “Anon.” as author.

By default, in most entry types, an absent date will automatically provoke Biber into searching for other sorts of dates in the entry, in the order year, eventyear, origyear, urlyear: e.g., (Evanston Public Library 2002), which only has a urlyear. In three entry types — Music, Review, and Video — this search order is eventyear, origyear, year, urlyear, as in these types the earliest year should take precedence (cf. page 5, below). Beginning with this release, you can change the default search order, for all but the three types just mentioned, by using the `cmsdate` option in the preamble of your document, instead of (or in addition to) using it in the options field of individual entries. Setting that option in the preamble either to “both” or “on” makes the document-wide search order: origyear, year, eventyear, urlyear. This may be useful for documents that contain many entries with multiple dates, and where you want *always* to present the earlier (i.e., orig) dates at the head of reference list entries and in citations. You can eliminate some of these dates from the running, or change the search order, using the `\DeclareLabeldate` command in your preamble, but please be aware that I have hard-coded the possibilities above into the author-date style in order to cope with some tricky corners of the specification. If you reorder these dates, and your references enter these tricky corners,

1. (Donne 1995).

2. Dunn 1999.

the results might be surprising. (Cf. section 4.5.8 in `biblatex.pdf` and especially section 5.2, s.v. “date” in `biblatex-chicago.pdf` for the gory details.)

In most entry types, the absence of all four possible dates will automatically produce “n.d.” instead: (Bernstein, n.d.). You can also give it yourself in the form `\bibstring{nodate}`: (Ross, n.d.). A date that can be guessed should appear within square brackets: (Clark [1957?]). Forthcoming works are straightforward, assuming you remember to use the `\autocap` macro and the year (instead of the date) field, so that the word appears correctly in both citations and the list of references: (Author, forthcoming); (Contributor, forthcoming).

The 16th edition of the *Manual* has changed the rules for entries with more than one date (University of Chicago Press 2010, 15.38). First, Music, Review, and Video entries have their own rules, which are applied automatically. (Once again, see page 5, below.) For other entry types, there are two options, corresponding to two different states of the `cmsdate` entry (or preamble) option. The default is `cmsdate=off`: (Maitland 1926). Here, setting the `pubstate` field to `reprint` ensures that a notice of the original publication date will be printed at the end of the reference list entry. Alternatively, you can use `cmsdate=both`: (Emerson [1836] 1985); (Maitland [1898] 1998). `cmsdate=new` and `cmsdate=old` are both now synonyms of `both`, while `cmsdate=on` is still available even though it falls outside the specification: (James 1909). These options, in combination with others available in your `.bib` files, can cover a wide range of difficult cases. Please see the next section below, the documentation in `biblatex-chicago.pdf`, particularly in section 5.2, s.v. “date,” and also the following entries in `dates-test.bib`: (Schweitzer [1911] 1966; E. B. White 1946a, 1946b).

Corners of the specification

In some cases, the *Manual* isn’t altogether clear about how to present entries in the author-date style. I’m pretty certain about most of what follows, but if you interpret the specification differently please let me know.

InReference entries

These present several peculiarities: the title of the work should always take the place of any author, no “n.d.” will automatically be provided, and any postnote field will be enclosed in quotation marks preceded by “s.v.” for “*sub verbo*.” This allows you to refer to alphabetized articles in well-known reference works: (*Ency. Brit.*, 15th ed., s.v. “Hume, David”); (*New Grove Dict.* 2002, s.v. “Sibelius, Jean”); (*Wikipedia* 2012, s.v. “BibTeX”).

Author-less Article, Review, and Manual entries

In Article and Review entries with the `magazine` `entrysubtype`, the absence of an author automatically places the `journaltitle` of the periodical in citations and at the head of the entry in the list of references: (*Gourmet* 2000). (Without the `entrysubtype`, you’ll get the title at the head rather than the `journaltitle`.) You can cite newspaper and magazine articles entirely within the text, i.e., without them appearing in the reference list (University of Chicago Press 2010, 15.47), if you set the `cmsdate=full` entry option: (*Lake Forester*, March 23, 2000); (*New York Times*, April 10, 2000). In Manual entries, the `organization` field does the same: (Electronic Book Technology Inc. 1991). If you wish to present an abbreviated form of the journal (or organization) name only in citations, then the `shortauthor` field — or in other cases the `shorthand` field — is the place for it, making sure to include formatting: (*Ergänzungsblätter z. Allg. Lit.-Ztg.* 1828); (BSI 1985).

Misc entries with an entrysubtype

When citing individual letter-like pieces from an unpublished archive where only an origdate is present, you no longer need to set the `cmsdate` option in your `.bib` entry, as Biber and biblatex-chicago now handle this automatically: (Creel 1918). Non-letters, e.g., interviews, use the date field, so you don't need `cmsdate` there, either: (Spock 1974). For undated pieces you can put `\bibstring{nodate}` in the year field: (Dinkel, n.d.). For citing whole collections, see the next section.

`entrysubtype = {classical}`

This option's name derives from its use for citing texts from classical antiquity, though in the author-date style especially it can be put to use in several other contexts. In a nutshell, any entry with such an `entrysubtype` will be treated, in citations only, not as author-date but as author-title. (Entries in the list of references, e.g., a particular edition of Aristotle, will still appear in standard author-date format.) A `\cite*` or `\autocite*` command will, in such a case, produce the title rather than the year. Some examples should make this clearer:

Classical works: without abbreviation: (Aristotle *Metaphysica*); with abbreviation: (Aristotle *Metaph.*); (Pl. *Resp.*); using standard pagination: (*Metaph.* 3.2.996b5–8); (*Resp.* 420e); work cited by page of a modern edition, i.e., without `entrysubtype`: (Euripides 1958, 198).

Sacred works, e.g., the Bible and the Qur'an: (Gen. 25:19–36:43).

An unpublished archive, from which more than one work has been cited: (House Papers, file 12). (Both this and the previous example use a Misc entry with `classical` `entrysubtype`.)

Comments inside citations

If you wish to include a comment inside the parentheses of a citation, it will need to be separated by a semicolon (University of Chicago Press 2010, 15.23). If you have a postnote, then you can manually provide the punctuation and comment in that field, e.g., (Stendhal 1925, 4; the unrevised trans.). Without a postnote, you now have two choices. You can enable the new `postnotepunct` package option, which allows you simply to type `\autocite[; the unrevised trans.]{stendhal:parma}` (Stendhal 1925; the unrevised trans.), or you can continue to use a separate Misc or CustomC entry containing just the text of the comment in the title field, `entrysubtype classical`, and options `skipbib`. An `\autocites` command calling both the main text and the comment will then do the trick, e.g., (University of Chicago Press 2010; the most recent edition).

Multiple authors

The default settings in biblatex-chicago are `maxnames=3`, `minnames=1` in citations and `maxbibnames=10`, `minbibnames=7` in the list of references (these latter parameters set in `biblatex-chicago.sty`). In practice, this means that an entry like `hlatky:hrt`, with 5 authors, will present all of them in the list of references but will truncate to one in citations, like so: (Hlatky et al. 2002). For the vast majority of circumstances, these settings are exactly right for the Chicago author-date specification. However, if “a reference list includes another work of the same date that would also be abbreviated as [‘Hlatky et al.’] but whose coauthors are different persons or listed in a different order, the text citations must distinguish between them” (University of Chicago Press 2010, 15.28). The new (Biber-only) biblatex option `uniquelist`, set for you in `biblatex-chicago.sty`, will automatically handle many of these situations for you, but it is as well to understand that it does so by temporarily suspending the limits, listed above, on how many names to print in a citation. Without `uniquelist`, biblatex would present such a

work as, e.g., (Hlatky et al. 2002b), while `hlatky:hrt` would be (Hlatky et al. 2002a). This does distinguish between them, but inaccurately, as it suggests that the two different author lists are exactly the same. With `unique!ist`, the two citations might look like (Hlatky, Boothroyd et al. 2002) and (Hlatky, Smith et al. 2002), which is what the specification requires.

If, however, the distinguishing name occurs further down the author list — in fourth or fifth position in our examples — then the default settings would produce citations with all 4 or 5 names printed, which can become awkwardly long. In such a situation, you can provide short-author fields that look like this: `{{Hlatky et al., \mkbibquote{Quality of Life,}}}` and `{{Hlatky et al., \mkbibquote{Depressive Symptoms,}}}`, using a shortened title to distinguish the references. This would produce (Hlatky et al., “Quality of Life,” 2002) and (Hlatky et al., “Depressive Symptoms,” 2002), as the spec recommends. There is, unfortunately, no simpler way that I know of to deal with this situation.

Audiovisual entries

According to the *Manual*, “Chicago recommends a more comprehensive approach to dating audiovisual materials than in previous editions.” This means, for instance, that, even when consulting a digital copy, “it is generally useful to give information about the original source.” Also, “the date of the original recording should be privileged in the citation” (University of Chicago Press 2010, 15.53). The rather more book-like entries are generally unaffected by these changes, so published (Audio) and unpublished (Misc) scores are no problem at all: (Schubert 1895); (Verdi 1998); (Shapey 1966). The dating of online materials has been enhanced: (Coolidge [1920?]); (“HOROWITZ” 1968); (Pollan 2007). The most significant changes, however, appear in Music and Video entries, where every effort should be made to find date(s) for sources: (Auden 1991); (Curtis and Malins 1996); (Handel 1987); (Holiday 1958); (New York Trumpet Ensemble 1981). Others perhaps require further information in the entry or genuinely are better suited to presentation in running text: (Beethoven, n.d.). The standard biblatex tools for subdividing reference lists are all available if you want to follow the *Manual’s* recommendations on presenting this kind of material separately from other sources.

Further examples (mainly for testing purposes)

Article: (Associated Press 2000); (Brown 1978); (Chu Ching and Zhi 1983); (Conley 1999); (Connell and Airey 1982); (Ellis 2008); (Friedman and Mezzetti 2001); (Garaud 1967); (Garrett 1975); (Gibbard 1999); (Kern 1938); (Kim Luu 1999); (Lewis 1998); (Loften 1989); (Loomis 1960); (Morgenson 2000); (Osborne 2000); (Reaves 2001); (Rozner 1979); (Schneider 1975); (Sewall 1896); (Stenger 1999); (Terborgh 1974); (Wall 1971); (Warr and Ellison 2000); (S. White 1999).

Artwork: (Leonardo 1480s).

Audio: (*The Greek and Roman World* 1977); (Weed 1903).

Book: (Barrows 1959); (Churchill and Eisenhower 1990); (Cohen 1999); (Cotton Manufac. 1869); (Creasey 1976); (Creasey 1978); (Creasey 1966); (Davenport and Beck 2001); (Feydeau 1970); (Furet 1999); (Furet 1995); (Hopp 1977); (Howell 1998); (Lach 1977); (Le Carré 1982); (Lévi-Strauss 1962); (Lynch and Horton 1999); (Maisonnette, Lamarche, and St-Amand 1998); (McHugh 1980); (Menchú 1999); (Meredith 1970); (Michelangelo 1999); (Gibaldi 1998); (National Reconnaissance Office 1967); (Palmatary 1950); (Pelikan 1971); (Rodman 1997); (Schellinger, Hudson, and Rijsberman 1998); (Sechzer et al. 1996); (Sereny 1999); (Soltes 1999); (Stendhal 1925); (Suangtho and Lauridson 1990); (Thompson 1964); (Tillich 1951–63); (Austin 1999); (Turabian 1996); (Walker and Taylor 1998); (Wauchope 1950); (Weber, Burlet, and Abel 1928); (Wereszycki 1977); (E. B. White 1976); (Wright 1968–78); (Wright 1969).

BookInBook: (Bernhard 1990b); (Bernhard 1990c).

Collection: (Brush and Clark 1983); (Harley and Woodward 1994); (Harley and Woodward 1987); (Kamrany and Day 1980); (Angle 1968); (Zukowsky 1987).

Image: (Bedford 1860s).

InBook: (Ashbrook and Albright 1997); (Phibbs 1987); (Williams and Colomb 1990).

InCollection: (Centinel 1981); (Ellet 1968); (Keating 1968); (Lippincott 1968); (Sirosh, Miikkulainen, and Bednar 1996); (Wiens 1983).

InProceedings: (Frede 2009).

InReference: (*OED*, 2nd ed., s.v. “absolute”).

Manual: (Electronic Book Technology Inc. 1991).

Misc: (Roosevelt 1959).

Music: (Pink Floyd 1970); (Mozart 1987); (Rubinstein 1946–1967).

Online: (Harwood 2008); (Powell 1998).

Patent: (Petroff and Stapelbroek 1986).

Periodical: (Good 1999); (Whittington 1991).

Report: (van Herwijnen 1988).

Review: (AC 2008); (Bundy 1990); (Clemens 2000); (Kozinn 2000); (Ratliff 1999); (Wallraff 2000).

SuppBook: (Friedman 1994); (Polakow 1993); (Prose 2000).

Thesis: (Murphy 2000).

Unpublished: (Nass 2000).

Video: (Cleese et al. 2001); (Hitchcock 1959).

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